# CROMPTON | SEAGER | TUFTE | LLC

Patent, Trademark & Copyright Attorneys

HECEIVED CENTRAL FAX CENTER AUG 1 6 2005

1221 Nicollet Avenue, Suite 800 Minneapolis, Minnesota 55403-2420 Phone 612.677.9050 Fax 612.359.9349

## **Fax Transmission**

TO:

Mail Stop Amendment

Commissioner for Patents

FROM:

John G. Shudy, Jr.

Attn: Examiner P.O. Box 1450

Alexandria, VA 22313-1450

OUR REF: H0005547-07601100,1229101

TELEPHONE: 612.677.9050

Total pages, including cover letter:

## PTO FAX NUMBER <u>571.273.8300</u>

If you do NOT receive all of the pages, please telephone us at 612.677.9050, or fax us at 612.359.9349.

Title of Document Transmitted: Information Disclosure Statement listing references cited on

corresponding International Search Report; 3 non-US patent references; Certification for Information Disclosure Statement

Applicant:

Barrett E. Cole

Serial No.:

10/749,637

Filed:

December 31, 2003

Group Art Unit: 1711 Confirmation No.9413

Please charge Deposit Account No. 50-0413 in the amount of \$ 00.00 for Please charge any additional fees or credit overpayment to Deposit Account No. 50-0413. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to

enter these papers, if appropriate.

Nazie: John G. Shudy, Jr.

Reg. No.: 31,214

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Name: Lynn Thompson

August 16, 2005

Date

# AUG 1 6 2005

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Barrett E. Cole

Confirmation No.:

9413

Serial No.

10/749,637

Examiner:

Filing Date:

December 31, 2003

Group Art Unit: 1711

For:

NANOTUBE FABRICATION BASIS

Docket:

H0005547-07601100.1229101

## CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(e)

Mail Stop Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

#### CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office on the date shown below.

This certification is being made for the Information Disclosure Statement accompanying this certification.

That each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 CFR §1.97(e)(1).

Respectfully submitted,

Dated: 08/16/05

John G. Shudy, Jr., Reg. No. 31,214

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2402

Telephone:

(612) 677-9050

Facsimile:

(612) 359-9349

P.03

Sheet

PTO/\$B/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

H0005547-07601100.1229101

Under the Paperwork Reduction Act of 1995, no persons are required	U.S. Patant and Trac to rescond to a collection of inform	iemark Office; U.S. DEPARTMENT OF COMMERCE nation unless it contains a valid OMB control number.		
Substitute for form 1449/PTO	Complete if Known			
	Application Number	10/749,637		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many shoots as necossary)	Filing Date	December 31, 2003		
	First Named Inventor	Barrett E. Cole		
	Art Unit	1711		
	Evernings Nome	unknown		

Attorney Docket Number

U. 8. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (M known)</sup>	Publication Date MM-DD-YYYY	Name of Petentee or Applicant of Cited Document	Pages, Columns, Linea, Where Relevant Pessages or Relevant Figures Appear		
		US: ADAD (AD 1000 A 1		144-4	1 igues Appesi		
		<sup>US-</sup> 2002/024099 A1	02/28/2002	Watanabe et al.			
		US- 2003/173985 A1	09/18/2003	Cole et al.			
	}	UŞ-		-			
		US-					
		U\$-			***		
		US-			-		
-		U\$-	<del>-  </del>				
		U8					
		US-					
		US-					
		us-					
		US-					
		ÜŜ-					
		US-					
		US-			· · · · · · · · · · · · · · · · · · ·		
		US-					
		US-					
		U\$-					
		US-	1	•	<del>                                     </del>		

Examiner Inflais"	Cite No.1	Foreign Palent Document	Publication Pale	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevent Passages Or Relevant Figures Appear	
		Country Code <sup>9</sup> "Number" "Kind Code <sup>5</sup> (# known)	MM-DD-YYYY	The second of the second		
		EP1061043-abstract	12/20/2000	Iljin Nanotech		-
		JP0308162-abstract	03/19/2003	Sanyo Electric		Г
		FR2832995-abstract	06/06/2003	Thales		
						<u> </u>
						_

		-	 			 
Examiner					Deste	•
Signature				1		
១នាំនេះភាព					Considered	
				1		

\*EXAMINER: Initial If reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at <a href="https://www.usmo.gov">www.usmo.gov</a> or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the roign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. 'Applicant is to piace a check mark here if English tanguage

Transation is seasoned.
This collection of information is required by 37 CFR 1.97 and 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including sathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.